



**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Name (line 1) Execution Date
Month Day Year
November 26, 2001
- Name (line 2)

Second Party

- Name (line 1) Execution Date
Month Day Year
November 26, 2001
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

- Name (line 1)
- Name (line 2)
- Address (line 1)
- Address (line 2)
- Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

FOR OFFICE USE ONLY

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (626) 395-7801

Name Sarah B. Adriano

Address (line 1) Mandel & Adriano

Address (line 2) 35 N. Arroyo Parkway

Address (line 3) Suite 60

Address (line 4) Pasadena, California 91103

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

26

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT US00/15442

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

5

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 200.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-0306

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Sarah B. Adriano

Sarah B. Adriano

11/30/01

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Denise Farrer

November 27, 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Thalia Papayannopoulou

November 29, 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) George Stamatoyannopoulos

November 27, 2001

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

M&A 30429.2USWO

ASSIGNMENT

WHEREAS, I/WE, André Lieber residing at 7251 29th Avenue, Seattle, Washington, 98115, Dmitry M. Shayakhmetov residing at 6500 25th Avenue, Seattle, Washington 98115, Denise R. Farrer residing at 1324 W. Emerson Street, Seattle, Washington 98119, Thalia Papayannopoulou residing at 702 35th Avenue, Seattle, Washington 98122, George Stamatoyannopoulos residing at 70256 35th Avenue, Seattle, Washington 98122 made certain new and useful inventions and improvements for which I/WE filed an application for Letters Patent of the United States as application Serial No. not yet known filed on herewith which is entitled RECOMBINANT ADENOVIRAL VECTORS FOR CELL SPECIFIC INFECTION AND GENOME INTEGRATION AND EXPRESSING CHIMERIC FIBER PROTEINS;

AND WHEREAS, University of Washington, organized and existing under the laws of the State of Washington, and having a place of business at 1107 NE 45th Street, Seattle, Washington 98105 (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in and to said inventions, improvements and application, and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that pursuant to contractual obligations heretofore assumed by ME/US and/or for other valuable and sufficient consideration, the receipt of which is hereby acknowledged, I/WE have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/WE do hereby authorize and

M&A 30429.2USWO

request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/WE do hereby agree that I/WE and MY/OUR executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to ME/US relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day
of November, 2001.

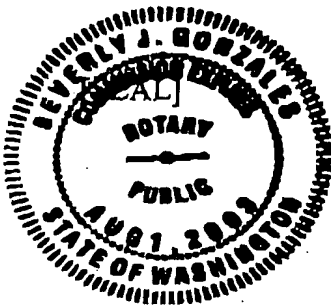
André Lieber

STATE OF Washington)

COUNTY OF King)

On 11/20/01, before me, Beverly J. Gonzales personally
appeared André Lieber, ☒ personally
known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal



Beverly J. Gonzales
Notary Public

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Dmitry M. Shayakhmetov

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Denise R. Farrer

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Thalia Papayannopoulou

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

George Stamatoyannopoulos

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

M&A 30429.2USWO

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day
of November, 2001.

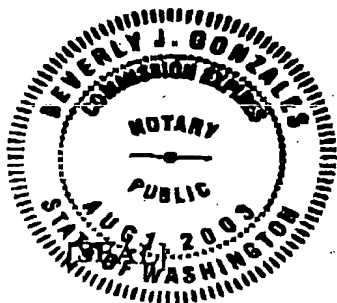
Shayakhmetov

Dmitry M. Shayakhmetov

STATE OF Washington)COUNTY OF King)

On 11/24/01, before me, BEVERLY J. GONZALES personally
appeared Dmitry M. Shayakhmetov ☒ personally
known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal



Beverly J. Gonzales
Notary Public

pg 3 of 3

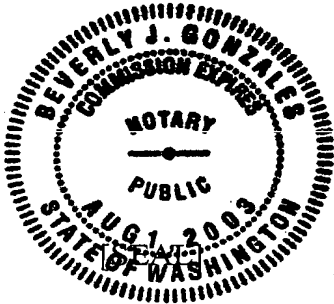
IN TESTIMONY WHEREOF, I have hereunto set my hand this 27th day
of November, 2001.

Denise R. Farrer
Denise R. Farrer

STATE OF Washington)
COUNTY OF King)

On 11-27-01, before me, Beverly Gonzales personally
appeared Denise R. Farrer, ☒ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal



Beverly J. Gonzales
Notary Public

ASSIGNMENT

WHEREAS, I/WE, André Lieber residing at 7251 29th Avenue, Seattle, Washington, 98115, Dmitry M. Shayakhmetov residing at 6500 25th Avenue, Seattle, Washington 98115, Denise R. Farrer residing at 1324 W. Emerson Street, Seattle, Washington 98119, Thalia Papayannopoulou residing at 702 35th Avenue, Seattle, Washington 98122, George Stamatoyannopoulos residing at 70256 35th Avenue, Seattle, Washington 98122 made certain new and useful inventions and improvements for which I/WE filed an application for Letters Patent of the United States as application Serial No. not yet known filed on herewith which is entitled RECOMBINANT ADENOVIRAL VECTORS FOR CELL SPECIFIC INFECTION AND GENOME INTEGRATION AND EXPRESSING CHIMERIC FIBER PROTEINS;

AND WHEREAS, University of Washington, organized and existing under the laws of the State of Washington, and having a place of business at 1107 NE 45th Street, Seattle, Washington 98105 (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in and to said inventions, improvements and application, and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that pursuant to contractual obligations heretofore assumed by ME/US and/or for other valuable and sufficient consideration, the receipt of which is hereby acknowledged, I/WE have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/WE do hereby authorize and

request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/WE do hereby agree that I/WE and MY/OUR executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to ME/US relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

André Lieber

STATE OF _____)
)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Dmitry M. Shayakhmetov

STATE OF _____)
)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
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the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Denise R. Farrer

STATE OF _____)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
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acknowledged to me that he executed the same in
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of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

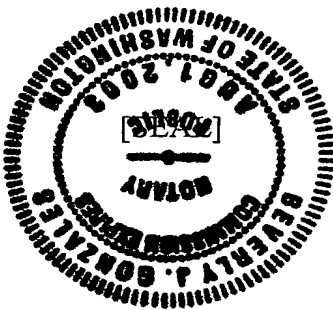
IN TESTIMONY WHEREOF, I have hereunto set my hand this 29th day
of November, 2001.

Thalia Papayannopoulou
Thalia Papayannopoulou

STATE OF Washington)
COUNTY OF King)

On 11/29/01, before me, BEVERLY J. GONZALES personally
appeared Thalia Papayannopoulou, ☒ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal



Beverly J. Gonzales
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

George Stamatoyannopoulos

STATE OF _____)
_____)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
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WITNESS my hand and official seal

[SEAL]

Notary Public

ASSIGNMENT

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request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

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AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

André Lieber

STATE OF _____)
_____)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Dmitry M. Shayakhmetov

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Denise R. Farrer

STATE OF _____)
_____)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Thalia Papayannopoulou

STATE OF _____)
_____)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27th day
of November, 2001.

George Stamatoyannopoulos
George Stamatoyannopoulos

STATE OF Washington)

COUNTY OF King)

On 11/27/01, before me, Beverly Gonzales personally
appeared George Stamatoyannopoulos ☒ personally
known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal



Beverly J. Gonzales
Notary Public